

RESOLUTION NO. 7153

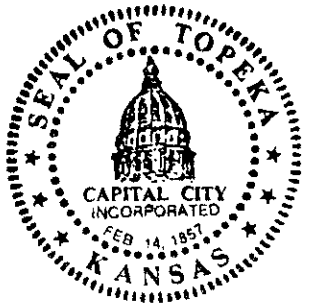
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A RESOLUTION introduced by Mayor Harry Felker authorizing filing of necessary documents with the Kansas Department of Health and Environment (KDHE), for loans under the Kansas Water Pollution Control Revolving Fund Assistance Project (K.S.A. 65-3321 through 65-3329).

WHEREAS, under the terms of the Kansas Water Pollution Control Revolving Loan Program, the State of Kansas has authorized the making of loans to authorized applicants to aid in the construction of specific public projects.

NOW, THEREFORE, BE IT RESOLVED, by the Council of the City of Topeka, Kansas that Mayor Felker be and is hereby authorized to execute, file and to furnish such information as Kansas Department of Health & Environment may reasonably request in connection with the loan agreement on behalf of the City for Oakland Wastewater Treatment Facilities Phase I (KWPCRF Project No. C20 1272 02), Deer Creek North Interceptor (KWPCRF Project No. C20 1443 01), Deer Creek Pump Station and Water Plant Sludge Handling Project (KCPCRF Project No. C20 1443 02) and to sign all other necessary documents on behalf of the City, to furnish such assurances to KDHE as may be required by law or regulation, and to receive payment on behalf of the City of Topeka.

ADOPTED and APPROVED by City Council May 8, 2001.



CITY OF TOPEKA, KANSAS

Harry Felker
Harry Felker, Mayor

ATTEST:

Iris E. Walker
Iris E. Walker, City Clerk

APPROVED AS TO FORM AND LEGALITY
DATE 4/24/2001 BY Iris



KANSAS
DEPARTMENT OF HEALTH & ENVIRONMENT
BILL GRAVES, GOVERNOR
Gary R. Mitchell, Secretary

KANSAS WATER POLLUTION CONTROL REVOLVING FUND
APPLICATION FOR ASSISTANCE
General Instructions

Carefully Read and Follow All Instructions

1. **Use of this Application** -- This form is to be used for Kansas Water Pollution Control Revolving Fund Assistance (K.S.A. 1988 Supp. 65-3321 thru 65-3329). This form also is used to request supplemental assistance, and propose amendments to approved agreements.
2. **Submission** -- Submit the original to the Kansas Department of Health and Environment. If an item cannot be answered or does not appear to be relevant to your request, write "NA" for not applicable. When a request is made for supplemental assistance or amendments to an approved loan submit only those pages which are appropriate.
3. **Assistance Regulations** -- Applicants are expected to understand and comply with all loan regulations applicable to the program. These regulations are published in K.A.R. 28-16-110 thru 28-16-138. A copy of these regulations is included in the application guidance package.
4. **State Review** -- The State of Kansas has established procedures for reviewing financial assistance applications in accordance with Executive Order 12372, Intergovernmental Review of Federal Programs. You have been notified of this procedure as presented in the "Notice" dated January 2, 1998.
5. **Completing the Application:**
 - a. This application consists of 5 parts: General Information (Part I); Assurances (Part II); Budget Information (Part III); Project Approval Information (Part IV); and Additional Information Requirements (Part V). Completion of the application requires submission of the additional Part V information.
 - b. Instructions for Part III may be found in this package.
 - c. All dollar amounts requested in this application should be rounded to the nearest dollar.
6. **Project** -- The term "project" as used in this application form refers to the scope of the work for which assistance is awarded.
7. **Preapplication Assistance** -- Prior to formal application submission, preapplication assistance may be obtained from the Kansas Department of Health and Environment

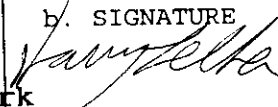
KDHE (3-98)
KWPCRLF (V-3)

Page 1 of 12



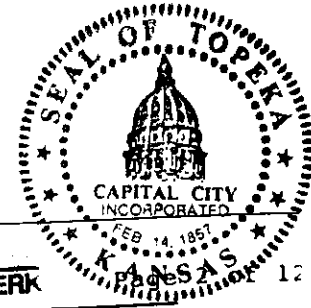
KANSAS
DEPARTMENT OF HEALTH & ENVIRONMENT
 DIVISION OF ENVIRONMENT
 Bureau of Water

APPLICATION FOR LOAN ASSISTANCE

1. LEGAL APPLICANT/RECIPIENT a. Applicant Name - City Of Topeka b. Organization Unit Water Pollution Control c. Street/P.O. Box - 1115 NE Poplar d. City - Topeka e. County - Shawnee f. State - Kansas g. ZIP Code - 66616 h. Contact Person (Name & Telephone No.) - Mark Green (785) 368-3851 xt. 519		2. TYPE OF APPLICANT/RECIPIENT A. City B. County C. Other (Specify) Enter appropriate letter <input checked="" type="checkbox"/> A	
3. TITLE OF APPLICANT'S PROJECT (Provide a summary description of the project). SEE ATTACHMENT		4. ESTIMATED NUMBER OF PERSONS BENEFITING 125,000 5. EMPLOYER IDENTIFICATION NUMBER 48-6028701	
6. AREA OF PROJECT IMPACT (Names of cities, counties, etc.) City Of Topeka, Kansas		7. CONGRESSIONAL DISTRICTS OF: a. APPLICANT 2nd b. PROJECT 2nd	
8. TYPE OF APPLICATION A-New B-Revision C-Augmentation Enter appropriate letter <input checked="" type="checkbox"/> A		9. TYPE OF CHANGE (For 8B or 8C) A-Increase Dollars B-Decrease Dollars C-Increase Duration D-Decrease Duration E-Cancellation F-Other (Specify) N/A Enter Appropriate Letter(s) <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
10. PROPOSED FUNDING a. STATE \$ 21,000,000.00 b. APPLICANT \$.00 c. LOCAL \$.00 d. OTHER \$.00 e. TOTAL \$ 21,000,000.00		11. PROJECT START DATE Year month day 01 03 20 12. PROJECT DURATION 48 months 13. DATE SUBMITTED Year month day 19	
14. AGENCY TO RECEIVE REQUEST - Kansas Department of Health & Environment a. ORGANIZATIONAL UNIT BUREAU OF WATER MUNICIPAL PROGRAMS SECTION b. ADMINISTRATIVE CONTACT (IF KNOWN) Rod Geisler c. ADDRESS Forbes Field, Building 283 Topeka, KS 66620-0001		15. IDENTIFICATION NUMBER C201272 02 c20 1443 01/ 16. REMARKS ADDED <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
THE APPLICANT CERTIFIES THAT: APPROVED AS TO FORM & LEGALITY E.D.S. MAY - 3 2001 ATTORNEY'S OFFICE		To the best of my knowledge and belief, data in this preapplication/application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is approved.	
a. TYPED NAME AND TITLE Harry Felker, Mayor Iris Walker, City Clerk		b. SIGNATURE 	

ATTEST:

 CITY CLERK



APPLICANT PROCEDURES

The applicant for KWPCRLF financing must complete all items in this application. If an item is not applicable, write "NA" in the space provided. If additional space is needed, insert an asterisk "*", and attach additional page. An explanation follows for each item:

- | Item | Item |
|--|--|
| <p>1a-1h. Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of applicant, and name and telephone number of the person who can provide further information about this request.</p> | <p>10. Amount requested or to be contributed by each contributor. Value of in-kind contributions should be included. If the action is a change in dollar amount of an existing loan (a revision of augmentation under item 8), indicate only the amount of the change. For decreases, enclose the amount in parentheses. For multiple program funding, use totals and show program breakouts on additional informational page. 12a-amount requested from State. 12b-amount applicant will contribute. 12c-amount from local government, if application is not a local government. 12d-amount from any other sources.</p> |
| <p>2. "City" includes town, township or other municipality.</p> | <p>11. Approximate date project expected to begin (usually associated with estimate date of availability of funding).</p> |
| <p>3. Provide a summary description of the project. If appropriate, i.e., if project affects particular sites as, for example, construction or real property projects, attach a map showing the project location.</p> | <p>12. Estimate number of months to complete project after funds are available.</p> |
| <p>4. Estimated number of persons directly benefiting from project.</p> | <p>13. Date application must be submitted in order to be eligible for funding consideration.</p> |
| <p>5. Employer Identification Number (EIN) of applicant as assigned by the Internal Revenue Service.</p> | <p>14. Name and address of the agency to which this request is addressed. Indicate as clearly as possible the name of the office to which the application will be delivered.</p> |
| <p>6. List only largest unit or units affected, such as county, city, etc.</p> | <p>15. Existing project identification number if this is not a new request and directly relates to a previous action. Otherwise, write "NA".</p> |
| <p>7. The district(s) where most of action work will be accomplished.</p> | <p>16. Check appropriate box as to whether from contains remarks and/or additional remarks are attached.</p> |
| <p>8. A. New. A submittal for project not previously funded.</p> <p>B. Revision. A modification to project nature or scope which may result in funding change (increase or decrease).</p> <p>C. Augmentation. A requirement for additional funds for a project previously awarded funds.</p> | <p>17. Date the project was submitted to interested agencies for Intergovernmental Review in conformance with the NOTICE dated January 2, 1998.</p> |
| <p>9. Complete only for revisions (items 8B), or augmentations (item 8C).</p> | <p>18a. Name and Title of authorized representative of legal applicant.</p> <p>18b. Original signature of authorized representative.</p> |

PART II
ASSURANCES

As authorized representative of

City of Topeka, Kansas

Name of Municipality

I certify that

City of Topeka, Kansas

Name of Municipality

agrees to comply with the laws, regulations, policies and conditions relating to the Kansas Water Pollution Control Revolving Loan Fund funding for this project. I also certify that

City of Topeka, Kansas

Name of Municipality

Administrative Requirements

1. Has the legal, institutional, managerial and financial capability to ensure adequate construction, and operation and maintenance (including replacement) of the wastewater treatment works, and has analyzed the local share of the costs of the proposed wastewater treatment facilities, including the financial impact on the residents of the service area.
2. Will give the State, and the Comptroller General of the United States through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the loan; and will maintain project accounts in accordance with or similar to generally accepted government accounting standards defined in the 1988 edition of the Government Accounting, Auditing, and Financial Reporting Manual issued by the Government Finance Office Association in accordance with K.A.R. 28-16-138.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or gives the appearance of personal or organizational conflict of interest.
4. Will ensure that the facilities under its ownership, lease or supervision used to accomplish the project are not listed on EPA's list of Violating Facilities and will notify the State of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by EPA.
5. Has not violated any Federal, State, or local law pertaining to fraud, bribery, graft or collusion.

Programmatic Requirements

6. Will require the facility to be designed to comply with the "American National Specifications for Making Buildings and Facilities Accessible to, and Usable by, the Physically Handicapped", Number A117-1-196. Will obtain approval by the State of the final design drawings and specifications before the project is advertised for bidding. Will complete the project in accordance with the application, approved facility plan, and approved plans and specifications. Will submit to the State for approval any project changes. Will not enter into construction subagreements or other subagreements until it meets the applicable conditions of K.A.R. 28-16-131 regarding procurement.
7. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the completed work conforms with the approved plans and specifications per K.A.R. 28-16-55 and Water Quality Policy Memorandum No. 2-78 dated January 18, 1978 and will furnish progress reports and such other information as the State may require.
8. Will operate and maintain the publicly owned treatment works in accordance with the minimum standards as required by the state and local agencies for the maintenance and operation of such facilities; and in accordance with the National Pollutant Discharge Elimination System (NPDES) permit or the Kansas Water Pollution Control (KWPC) permit.

9. Will initiate procurement action for building all significant elements of the project and will diligently pursue completion of the project. Will assure the treatment works for which this application is requesting funds are made operational according to the project schedule within the Loan Agreement.

10. Will pay the applicant's share of project costs and obtain the necessary non-State project funds.

Statutory Requirements

11. Will comply with the provisions of Executive Order 11988 relating to evaluation of potential effects of any actions in a floodplain and Executive Order 11990 relating to minimizing harm to wetlands.

12. Will comply with Title VI of the Civil Rights Act of 1964 (P.L. 88-352).

13. Will comply, or have already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs (see 40 CFR Part 4). These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

14. Will comply with the Age Discrimination Act (P.L. 94-135) and the Rehabilitation Act of 1973 (P.L. 93-112).

15. Will assist the State to assure compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), Executive Order 11593, the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.), and the Historic Sites Act.

16. Will comply with Section 13 of the Federal Water Pollution Control Act of 1972 (P.L. 92-500), which provides that no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination in any program under the Act.

17. Will comply with all applicable requirements of all other Federal laws, State laws, executive orders, policies and regulations governing this program.

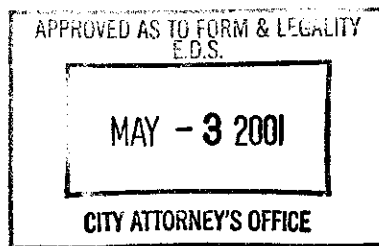
CERTIFICATION

I certify that I am duly authorized representative of the within-named legal entity, and that I have read and understand these requirements and assurances.

<u>City of Topeka, Kansas</u>	<u>Harry Felker, Mayor</u>
Name of Legal Entity	Name and Title of Duly Authorized Representative
<u><i>Harry Felker</i></u>	<u>5/9/01</u>
Signature	Date

Note: A copy of your governing body's authorization for you to sign this application as official representative must be on file in the applicant's office.

Attest: *Iris Walker* Iris Walker, City Clerk



Instructions

Part III - Budget Information for KWPCRLF Application Parts I, II, IV and V are self-explanatory

Part III consists of three Sections: Section A, used to calculate the loan for new projects; Section B, used to amend an existing loan; and Section C, used to exhibit the proposed method of financing the non-EPA funding of project costs. In both Sections A and B you are required to consider costs which are not eligible for Loan funding, i.e., land and easements. Other costs may not be allowed depending on relationship to the KWPCRLF project. If this application includes Force Account work, the costs should be included in the appropriate item.

The applicant is encouraged to seek KDHE assistance in determining what types of costs are not eligible for loan funding.

Section A and B, Loan Amount Calculation Sheets, Cost Classification:

Item 1 - Enter estimated amounts needed to cover administrative and legal expenses. Allowable administrative expenses do not include costs which are related to the normal functions of government. Allowable legal costs are generally only those associated with certain services in support of the loan project (e.g., review of contracts, including the loan agreement).

Item 2 - Enter estimated site and right-of-way acquisition costs (this includes purchase, lease, and/or easements).

Item 3 - Enter estimated costs related to relocation advisory assistance, replacement housing, relocation payments to displaced persons and businesses, etc.

Item 4 a. - Enter past cost of planning, if to be included in the loan amount.

Item 4 b. - Enter past cost of design services, if to be included in loan amount.

Item 4 c. - Enter estimated engineering fees related to construction (this includes startup services and preparation of project performance work plan).

Item 4 d. - Enter estimated engineering inspection costs (not including project performance reviews and startup costs).

Item 4 e. - Enter estimated engineering costs, such as surveys, tests, soil borings, etc.

Item 5. - Enter estimate costs of site preparation and restoration which are not included in the basic construction contract.

Item 6. - Enter estimated costs of demolition and removal of existing structures which are not included in the basic construction contract.

Item 7. - Enter estimated cost of the contract to construct the facility.

Item 8. - Enter estimated cost of office, shop, laboratory, and safety equipment, etc. to be used at the facility, if such costs are not included in the construction contract.

Item 9. - Enter estimated miscellaneous costs.

Item 10. - Enter total of lines 1 through 9.

Item 11. - Enter estimated cost of interest during construction. (Consult KDHE for advise in determining this cost).

Item 12. - Enter estimated contingency costs. (Consult KDHE for percentage of the estimated construction cost [line 7 of calculation sheet] to use.)

Item 13. - Enter total of lines 10, 11 and 12.

Item 14. - Enter total from line 13(c).

Part III, Section C - Worksheet for Proposed Method of Financing Non-EPA Funding.

Item 1 - List the source(s) of funds (other than KWPCRLF funds) which will be used to pay project costs.

Item 2 - 4 - Self explanatory.

Topeka Jakland Plant Phase I

Part III --- Budget Information --- Construction
 Section A --- Loan Calculation Sheet for New Projects

Cost Classification	a. Total Costs	b. Cost Not Allowable	c. Allowable Costs (Subtract Column b from a. Enter below)
1. Administrative and legal expenses	\$	\$	\$
2. Land, structure, rights-of-way, appraisal services, and related costs			
3. Relocation expenses and payments to individuals, businesses and farm operations			
4. Engineering fees - a. Planning			
4 b. Design	4,548,720		
4 c. Basic Construction	11,924,080		
4 d. Inspection	1,089,400		
4 e. Other engineering fees			
5. Site work			
6. Demolition and removal			
7. Construction			
8. Equipment			
9. Miscellaneous			
10. Subtotal			
11. Interest During Construction			
12. Contingencies			
13. Total Project Costs	17,562,200		
14. Total State Funding	10,900,000	-----	

Section B -- Loan Calculation Sheet for Loan Amend.....ht

Cost Classification	a. Costs per last Loan Agreement		b. Adjustments (+) or (-)		c. Revised Cost	
	(1) Total	(2) Allowable	(1) Total	(2) Allowable	(1) Total	(2) Allowable
1. Administrative Expenses						
2. Land, structures, r-o-w, appraisal services, & related costs						
3. Relocation expenses and payments						
4. Engineering fees - a. Planning						
4 b. Design						
4 c. Basic Construction						
4 d. Inspection						
4 e. Other engineering fees						
5. Site work						
6. Demolition and removal						
7. Construction						
8. Equipment						
9. Miscellaneous						
10. Subtotal						
11. Interest During Construction						
12. Contingencies						
13. Total Project Costs						
14. Total State Funding						

Peer Creek North Interceptor
\$5.6 Million

Part III --- Budget Information --- Construction
 Section A --- Loan Calculation Sheet for New Projects

Cost Classification	a. Total Costs	b. Cost Not Allowable	c. Allowable Costs (Subtract Column b from a. Enter below)
1. Administrative and legal expenses	\$	\$	\$
2. Land, structure, rights-of-way, appraisal services, and related costs	250,000		
3. Relocation expenses and payments to individuals, businesses and farm operations			
4. Engineering fees - a. Planning	99,721		
4 b. Design	256,371		
4 c. Basic Construction			
4 d. Inspection	100,000		
4 e. Other engineering fees (Revolving Loan Application Asst)	5,000		
5. Site work			
6. Demolition and removal			
7. Construction (Engineer Estimate)	5,394,356		
8. Equipment			
9. Miscellaneous			
10. Subtotal			
11. Interest During Construction			
12. Contingencies			
13. Total Project Costs	6,105,449		
14. Total State Funding	5,600,000	-----	

Sect B -- Loan Calculation Sheet for Loan Amend pt

Cost Classification	a. Costs per last Loan Agreement		b. Adjustments (+) or (-)		c. Revised Cost	
	(1) Total	(2) Allowable	(1) Total	(2) Allowable	(1) Total	(2) Allowable
1. Administrative Expenses						
2. Land, structures, r-o-v, appraisal services, & related costs						
3. Relocation expenses and payments						
4. Engineering fees - a. Planning						
4 b. Design						
4 c. Basic Construction						
4 d. Inspection						
4 e. Other engineering fees						
5. Site work						
6. Demolition and removal						
7. Construction						
8. Equipment						
9. Miscellaneous						
10. Subtotal						
11. Interest During Construction						
12. Contingencies						
13. Total Project Costs						
14. Total State Funding						

Deer Creek Pump Station

\$4.5 Million

Part III --- Budget Information --- Construction

Section A --- Loan Calculation Sheet for New Projects

Cost Classification	a. Total Costs	b. Cost Not Allowable	c. Allowable Costs (Subtract Column b from a. Enter below)
1. Administrative and legal expenses	\$	\$	\$
2. Land, structure, rights-of-way, appraisal services, and related costs			
3. Relocation expenses and payments to individuals, businesses and farm operations			
4. Engineering fees - a. Planning			
4 b. Design	240,000		
4 c. Basic Construction			
4 d. Inspection	200,000		
4 e. Other engineering fees			
5. Site work			
6. Demolition and removal			
7. Construction	3,700,000		
8. Equipment			
9. Miscellaneous			
10. Subtotal			
11. Interest During Construction			
12. Contingencies	370,000		
13. Total Project Costs	4,510,000		
14. Total State Funding	4,500,000		

Sect. B -- Loan Calculation Sheet for Loan Amend at

Cost Classification	a. Costs per last Loan Agreement		b. Adjustments (+) or (-)		c. Revised Cost	
	(1) Total	(2) Allowable	(1) Total	(2) Allowable	(1) Total	(2) Allowable
1. Administrative Expenses						
2. Land, structures, r-o-w, appraisal services, & related costs						
3. Relocation expenses and payments						
4. Engineering fees - a. Planning						
4 b. Design						
4 c. Basic Construction						
4 d. Inspection						
4 e. Other engineering fees						
5. Site work						
6. Demolition and removal						
7. Construction						
8. Equipment						
9. Miscellaneous						
10. Subtotal						
11. Interest During Construction						
12. Contingencies						
13. Total Project Costs						
14. Total State Funding						

SECTION C- N/A
Worksheet for Proposed Method
of Financing Non-State Funding

1. Additional funds (other sources). If funds from another Agency will be used to match the KWPCRLF loan, you must submit evidence that such use is authorized.		b. Non-State funds
a. Source(s) (e.g., FmHA, HUD, EDA, DOD, etc.)		
b. Catalog of Federal Domestic Assistance No. : (if applicable)		
c. Grant amount		\$
d. Grant approved <input type="checkbox"/> or requested <input type="checkbox"/>	Date	
e. Loan amount		\$
f. Loan approved <input type="checkbox"/> or requested <input type="checkbox"/>	Date	
g. User funding for capital costs (e.g., from major Federal facility discharging to project)		\$
h. Funds received <input type="checkbox"/> or requested <input type="checkbox"/>	Date	
2. Applicant funds (Your Financial Capability Demonstration, included under Part V.4. may be referenced instead of completing lines a-g. If the Demonstration is referenced, enter only the total under column b and the date of funding.)		a. Loan Recipient source of funding
a. Securities	\$	
b. Mortgages	\$	
c. Appropriations (by Applicant)	\$	
d. Bonds	\$	
e. Tax levies	\$	
f. Noncash (explain)		
g. Other (explain)		
h. Funds secured <input type="checkbox"/> or expected <input type="checkbox"/>	Date	
i. Total		\$
3. Other non-State funds:		
a. Source		
b. Received <input type="checkbox"/> or requested <input type="checkbox"/>	Date	
c. Amount		\$
4. Total Non-State Funding (add amounts in column b)		\$

PART IV – PROJECT APPROVAL INFORMATION

<p>1.a. If the proposed project is located in an area covered by an approved comprehensive plan, does the project conform with the plan?</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>			
<p>b. Origin of plan (Check one or more, as appropriate):</p> <p><input type="checkbox"/> State <input checked="" type="checkbox"/> Local <input type="checkbox"/> Regional</p>		<p>c. Location of Plan</p> <p align="center">Topeka, Kansas and vicinity</p>	
<p>2. If the assistance requested will serve a Federal installation, provide:</p>			
<p>a. Name of Federal installation</p> <p>N/A</p>		<p>b. Federal population benefiting from project</p> <p>N/A</p>	
<p>3. If the project for which assistance is requested will be on Federal land or installation, provide:</p>			
<p>a. Name of installation</p> <p>N/A</p>	<p>b. Percent of Project</p> <p>N/A</p>	<p>c. Location</p> <p>N/A</p>	
<p>4. If the project for which assistance is requested has caused, or will cause, the displacement of any individual, family, business, or farm, provide number of:</p>			
<p>a. Individuals</p> <p>N/A</p>	<p>b. Businesses</p> <p>N/A</p>	<p>c. Families</p> <p>N/A</p>	<p>d. Farms</p> <p>N/A</p>
<p>5. If any Federal or State grant for a Step 1 (planning) and/or Step 2 (design) has been previously received, indicate if for (Check one): N/A</p> <p><input type="checkbox"/> Step 1 Only <input type="checkbox"/> Step 2 Only <input type="checkbox"/> Step 1 and Step 2 Enter grant #</p>			
<p>6. Indicate if project is in a designated special flood hazard area: (If answer is "Yes", see item 11 of "Assurance.")</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>7. If applicant has obtained the necessary land, indicate if by:</p> <p><input type="checkbox"/> Leasehold interest <input checked="" type="checkbox"/> Fee simple title <input type="checkbox"/> Other (Specify)</p>			
<p>8. Was a debarred or suspended entity used in planning or design? (See 40 CFR Part 32)</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			

Part V – Additional Information Requirements

Application Requirements for KWPCRLF Financing

Applications must include the following information. Please check or provide a date, in the appropriate column for each document and attach all required documents in the order indicated. Regulations applicable to loans are cited in the description of documents, and referenced below.

An application must be submitted for each proposed project. Each application and related requirement must be submitted to KDHE, must be complete, and must relate to a project which has been given funding priority by KDHE. If any required information has been furnished with an earlier application, the applicant may incorporate it by reference, and, if necessary, update or revise the information by using the previously approved application.

The basic application must meet the regulatory requirements, as appropriate. Items 1-16 identify information requirements which apply to all proposed projects.

Prior to completion of an application, applicants should be thoroughly familiar with the KWPCRLF regulations (K.A.R. 28-16-110 through 28-16-138) which apply to this program. If you are uncertain as to whether or not a document is required, or the type of information required, refer to the regulations cited, or consult KDHE.

Description of Documents and References	Information Attached	Date Submitted	Not Appl.
<p>1. Facilities plan (Consists of plans and studies which relate directly to treatment works for managing wastewater and residual sludge and which are needed to comply with enforceable requirements. The plan includes an environmental evaluation under K.A.R. 28-16-137 and Kansas Environmental Review Procedures for the Kansas Water Pollution Control Revolving Loan Fund Program - February 1989. K.A.R. 28-16-110(e), -134(a)(2), -110(a), -110(e), -119, -120, -121, -123, and -125.</p>	<p align="center">in process</p>		
<p>2. Intergovernmental Review Comments in Accordance with KDHE "Notice" dated June 21, 1999, copy of advertisements and records of public meeting and public hearing. K.A.R. 28-16-137 and 28-16-136.</p>	<p align="center">in process</p>		

Description of Documents and References	Information Attached	Date Submitted	Not Appl.
3. EPA Form 4700-4 (Compliance Report) (SRF Guidance)	in process		
4. Demonstration of grantee financial and management capability including Binding Assurance form adequate financial resources are available for O & M during the life of the project. K.A.R. 28-16-115, 28-16-135, -114, -116, -117.	in process		
5. Design drawings and specifications K.A.R. 28-16-134(a)(3)	in process		
6. Project schedule K.A.R. 28-16-134(a)(3)	in process		
7. Plan of operation K.A.R. 28-16-134(a)(4)	in process		
8. Sewer use ordinance K.A.R. 28-16-127	--	--	X
9. User charge system K.A.R. 28-16-128	in process		
10. Capital Improvement Plan K.A.R. 28-16-124	in process		
11. Draft Contract for Construction Engineering Service	in process		
12. For all real property, including easements required for the project, attach an opinion from a title counsel certifying that sufficient interest or rights to all property have been obtained to ensure undisturbed use and possession for the useful life of the project.	in process		
13. Information on use of debarred or suspended firms. (EPA Form 5700-49) (SRF Guidance) NOTE: Individual EPA Form 5700-49 forms must be completed by all parties to the KWPCRF loan, which includes the Municipality signed by the Authorized Representative, the Consulting Engineer, the construction contractor, and any other parties providing services by contract to be paid with funds from the Loan Agreement.	in process		
14. Intermunicipal service agreements (if applicable)	--	--	X
15. NPDES Permit Application (if applicable)	--	--	X
16. Value engineering information (if a project cost is more than \$10 million) K.A.R. 28-16-129	--	--	X

Topeka Oakland Plant, Phase I

This project is the preliminary step to provide advanced treatment at the Oakland Plant and is also a preliminary project in providing Combined Sewer Overflow correction and control by the city. (New Headworks to 1000 MGD peak flow capacity)

Deer Creek North Interceptor

This project will expand capacity, rehabilitate, and extend the existing interceptor.

Deer Creek Pump Station

This project will rehabilitate and expand the existing station to improve reliability and capacity.